

2010 Emerging Trends in Fraud Investigation and Prevention Conference Extended Course Descriptions and Bios

General Sessions

May 17, 2010 – Morning General Session: An Overview of Lottery Fraud, Scams and Stings

Workshop Description: This presentation by a California Lottery Investigative Analyst explores all types of lottery fraud, including fraudulent check scams, unaffiliated websites, unclaimed prize scams and the so-called Latin Lottery scam. Also discussed: sting operations and investigative techniques used to fight lottery fraud.

Speaker Bio: Amber Bettar – Investigative Analyst, Security/Law Enforcement Division, Intelligence & Investigations, California Lottery

Amber Bettar has earned a Bachelor of Science in Criminal Justice and Masters in Forensic Science, which led her to her first career position in the Crime Scene Investigation Unit for the Sonoma County Sheriff's Department in Santa Rosa, California. Amber began working for the California Department of Justice as the California Interpol Liaison, jointly with the gang and organized crime unit. Currently, Amber is an Investigative Analyst with the California Lottery, Security/Law Enforcement Division, where she provides analytical case assistance, tracks numerous lottery related scams and works jointly with several California Law Enforcement agencies and out of state agencies on Latin Lotto Scams specifically.

May 17, 2010 – Luncheon General Session: Gangs, Guns, Drugs ... Identity Theft? The Growing Interconnection

Workshop Description: This session will focus on the growing nexus between financial frauds and the world of gangs, drugs and guns, which increasingly blurs the lines between "street crime" and "white collar crime." To illustrate these trends, the presentation will discuss several intriguing case studies from New York, along with an overview of similar investigations around the country.

Speaker Bio: Antonia Merzon – Identity Theft Unit Expert

Antonia Merzon is a graduate of Princeton University (1992) and received her law degree from Fordham University School of Law (1998). Following law school, Chief Merzon joined the Manhattan District Attorney's Office. She has conducted every aspect of prosecution, from investigation and arrest through trial. In November 2004, she was named Chief of the newly formed Identity theft unit where she supervised 65 Assistant Attorneys in the investigation and prosecution of identity theft crimes. Antonia Merzon regularly presents lectures to community groups on topics relating to identity theft and the criminal justice system.

May 18, 2010 – Morning General Session: Cactus Rolling (Coping with Life's Thorniest Problems)

Workshop Description: People remember stories better than they recall statistics. This presentation tells a story with a simple, but important point: people suffer very little stress from reality, yet they do great harm to themselves with their imagery. From this discussion, participants will be shown that if stress is to be reduced, a person's imagery must change from catastrophe to reality. In other words, we must learn to avoid "cactus rolling."

Speaker Bio: Stephan A. Douglas, Ph.D. – Psychologist

Dr. Stephen Douglas earned a bachelor degree, two master's degrees and a doctorate from The Ohio State University. He served as an infantry officer in the United States Army in Vietnam. Dr. Douglas has been a teacher at the high school and college level. Since 1973, he has served as a mental health consultant and practitioner. He is married to Dr. Ellen Kay Douglas, also a psychologist, and they have two sons. Dr. Douglas is a special consultant to several law enforcement agencies throughout Ohio and he has an active psychological practice in Columbus. Additionally, he speaks throughout the country to over 150 groups a year.

May 18, 2010 – Luncheon General Session: Auditor of State’s Office Update/Presentation of Sentinel Award

The Ohio Auditor of State’s Office will discuss efforts to investigate and deter public-sector fraud in Ohio. Presentation of the Sentinel Awards for outstanding achievements in the fight against fraud will be awarded.

Auditor of State Mary Taylor, CPA

Mary Taylor is the first certified public accountant to serve as Ohio's Auditor of State. She previously served two terms in the Ohio House of Representatives where she served on the House Finance, Ways and Means, and Education Committees. Taylor began her career in public service as a city councilwoman in Green, Ohio. She and her husband Don have lived in Green for the past 21 years. The Taylor’s have two sons, Joe and Michael, ages 18 and 15.

Auditor Taylor obtained a bachelor's degree in accounting and a master's degree in taxation from the University of Akron. Taylor began her career in 1990 with Deloitte and Touche. She joined the regional firm of Bober Markey Fedorovich & Company in 1994, where she served as director of the firm's tax department and senior manager of the employee benefits practice.

Taylor was recently honored as a 2008 recipient of the University of Akron’s Dr. Frank L. Simonetti Distinguished Business Award. The award was created by the University of Akron College of Business Administration Alumni Board in 1987 to honor distinguished alumni for their achievements in business and significant contributions to their communities.

Taylor was one of three women appointed to represent the United States as a delegate to the 52nd session of the United Nations Commission on the Status of Women. The commission is a functional commission of the United Nations Economic and Social Council, dedicated exclusively to gender equality and the advancement of women.

She is currently a member of the American Institute of Certified Public Accountants (AICPA) where she serves as a member-at-large for the AICPA Council (term ending 2010). She is also a member of the Ohio Society of CPAs, the Ohio Government Finance Officers Association and the Association of Government Accountants.

During Taylor's service in the state legislature, she received the Watchdog of the Treasury Award and the 2006 National Federation of Independent Business Guardian of Small Business Award. Taylor is a 2003 graduate of the Bowhay Institute for Legislative Leadership Development, a program offered by the Midwestern Office of The Council of State Governments. In addition, Taylor was an adjunct professor at Walsh University where she taught accounting courses.

Taylor was sworn into office as Ohio's 31st Auditor of State on January 8th, 2007.

Breakout Sessions

Workshop #1 and Workshop #6: Mobile Devices and the Evidence They Hold (Cell Phone Evidence)

Workshop Description: This seminar will familiarize investigators with the basics of cell phone technology, what information and data are contained in these phones, forensic methods used in data retrieval and the information that is available from cellular service providers. This seminar will also cover the development of the law as it relates to this fast-developing technology. This three-hour presentation is designed for officers, investigators, detectives and prosecutors who wish to stay current with mobile equipment technology and approaches for using mobile devices as evidence in criminal cases.

Overview: Mobile devices are no longer just a convenience—they have taken over personal and business communications worldwide. Today, cell phones, smart phones and PDAs are a part of everyday life. They allow us to communicate and store vast amounts of information and data. This information and data can be retrieved, and is being used more and more in criminal cases nationwide. Law enforcement is finding that cell phones and cellular service providers are becoming a vast source of evidence upon which we are just grasping.

This seminar will familiarize investigators with the basics of cell phone technology, what information and data is contained in these phones, forensic methods used in retrieval, and the information available from cellular service providers. This seminar will also cover the development of the law as it relates to this fast developing technology. The seminar is designed for those Officers, Investigators, Detective and Prosecutors who wish to stay current with mobile equipment technology and using mobile devices as evidence in criminal cases.

Agenda:

Introduction

Cell Phones: History & Future

Cellular Technology

Network

- Network Operating Systems
- How a Cell Phone Works

Cell Phone Evidence & Forensics

- Evidence Found on Handsets
- Evidence Found on SIM Cards (Subscriber Identity Module)
- Prepaid Phones

Handheld Devices: PDAs & Smartphones

Cellular / GPS Tracking

Seizing the Device

Legal Issues

Speaker Bio: Detective Christine Roberts – Columbus Police Department

Detective Roberts is a 25-year veteran with the Columbus Police Department with over 17 years experience in narcotics, interdiction, and organized crime cases. She has become one of the preeminent law enforcement experts on cell phone forensics. Detective Roberts is currently a member of a state and federal task force; regularly conducts cell phone forensics; and instructs law enforcement.

Workshop #2: White Collar Crime: Low Tech Sometimes Still Works Best

Workshop Description: While identity-theft crimes frequently involve use of computers and the internet, theft rings using even the simplest methods can take an enormous toll. This presentation will highlight a massive pickpocket/identity theft ring that was recently indicted in New York County (Manhattan). The case provides valuable lessons about how a criminal organization with illicit access to personal identifying information can steal a great deal of money and stymie law enforcement's efforts to connect seemingly unrelated crimes.

Speaker Bio: Antonia Merzon – Identity Theft Unit Expert

Antonia Merzon is a graduate of Princeton University (1992) and received her law degree from Fordham University School of Law (1998). Following law school, Chief Merzon joined the Manhattan District Attorney's Office. She has conducted every aspect of prosecution, from investigation and arrest through trial. In November 2004, she was named Chief of the newly formed Identity theft unit where she supervised 65 Assistant Attorneys in the investigation and prosecution of identity theft crimes. Antonia Merzon regularly presents lectures to community groups on topics relating to identity theft and the criminal justice system.

Speaker Bio: Tracy Conn – Assistant District Attorney, Identity Theft Unit and Trial Bureau 30, NY County District Attorney's Office

Tracy Conn is a graduate of Williams College (2001) and received her law degree from Harvard Law School (2005). After law school, ADA Conn joined the Manhattan District Attorney's Office. She has conducted every aspect of prosecution, from investigation and arrest through trial as well as criminal appeals. In 2009, she became a Major Case Assistant District Attorney in the Identity Theft Unit.

Workshop #3: Auditor of State's Office Case Study on Purchasing Cards

Workshop Description: This session will address the dangers of purchasing cards (P-Cards) whenever controls are circumvented or ignored. A recent adjudicated case will be used to demonstrate how one perpetrator facilitated this kind of fraud. Case evidence will be used to document the schemes employed and tips for strengthening P-Card programs will be discussed.

Speaker Bio: Randall J. Meyer, CFE – Chief of Investigations, Ohio Auditor of State's Office

Randy is currently the Chief of the Special Investigations Unit (SIU) for Auditor of State Mary Taylor. The unit's objective is to reduce and recover the loss of public funds from theft, embezzlement or fraud by prioritizing those cases that constitute criminal conduct. Randy began his law enforcement career in 1990 as a deputy sheriff in Contra Costa County California. After returning home to Ohio he continued his career at the Wilmington Police Department as a detective before being hired by the Ohio Attorney General's office in 1999 as a Gang Investigator where he served as project director for several new initiatives including overseeing the implementation of the state's first statewide criminal gang database. Randy has a B.A. in Public Safety Management and is a certified instructor through the Ohio Peace Officer Training Academy (OPOTA) and the National White Collar Crime Center (NW3C).

Speaker Bio: Becky Wolcott, CPA, CFE – Senior Audit Manager, Special Audit Section, Ohio Auditor of State's Office

Currently, Becky is a Senior Audit Manager in the Special Audit Section for Auditor of State Mary Taylor. She has served as a Senior Audit Manager for the past 11 ½ years. As a Senior Audit Manager, Becky is responsible for conducting limited scope fraud and investigative audits of state and local government subdivisions to investigate allegations of fraud, theft, and/or misappropriation of public funds. Becky began her career at the Auditor of State's Office shortly after graduating from Capital University in 1993 with a B.A. in accounting. While at the Auditor of State's Office, Becky was a financial statement auditor and audit manager in the Columbus Region. Becky has been a licensed Certified Public Accountant since 1996, a licensed Certified Fraud Examiner since 2004, and is currently working on a Forensics Accounting degree at Franklin University.

Workshop #4: Anti-Trust

Workshop Description: This presentation will assist those who deal with or oversee public procurement some practical information about the basics of antitrust laws, "red flags" of collusion and common anti-competitive techniques used by some contractors or other vendors in connection with public contracts.

Speaker Bio: Jennifer L. Pratt – Chief of the Antitrust Section, Ohio Attorney General's Office

Jennifer L. Pratt has been with the Ohio Attorney General's Office since 1995 and has been the Chief of the Antitrust Section since 2003. She has participated in a wide array of major investigations and litigated matters from the Chlorine bid-rigging litigation to investigations of alleged anticompetitive activities in the professional sports, food additives and insurance industries. She led the litigation teams for the Ohio Attorney General's Office in the Columbia HCA/Blue Cross merger litigation, the Marion Hospital merger litigation and the Sorbates price-fixing litigation. She is a frequent speaker and author of publications on antitrust law, and since 2003 has served as Amicus Vice Chair for the National Association of Attorneys General's Antitrust Task Force. Jennifer received her Bachelor of Arts in Economics from Hanover College in 1983, her JD from Indiana University School of Law in 1987, and her MBA from Indiana University Graduate School of Business in 1987.

Workshop #5: Dealing with Difficult Persons

Workshop Description: This course is designed to provide the attendee with an overview of the dynamics of dealing with a person or persons deemed "difficult to deal with". The attendee will be able to recognize how to get to *the true root of the problem* and how to avoid letting his or her own patterns of interaction get in the way of a successful resolution.

The overview points will consist of the following topics:

- Defining the term "difficult".
- Why it is *critical* to make a plan before you engage in the conversation.
- Why people get angry or upset (may not seem reasonable to you, but that doesn't really matter)

- Is there a resolution?
- Taking control by listening.
- The four things not to do
- The four things you should always do
- How to avoid a “repeat performance” later

During this session, we will also discuss “defusing or de-escalation” language examples and the use of “one liners” to establish a means of communication with the potentially difficult person(s).

Speaker Bio: James E. Whitaker, CFE, CPP, PCI – The Whitaker Group, LLC, Wooster and Cincinnati, Ohio Offices

James E. Whitaker possesses more than 38 years of hands-on experience in law enforcement, management, training, and private sector investigative experience. He received a bachelor’s degree in criminal justice administration and a master’s degree in business management (with an emphasis on organizational design) both from Myers University in Cleveland, Ohio. He’s a graduate of the FBI National Academy, National Crime Prevention Institute, and ATF Insurance Fire Academy. Besides the Certified Fraud Examiner (CFE), he holds the designations of Certified Protection Professional (CPP), Professional Certified Investigator (PCI), and Certified Insurance Fraud Investigator (CIFI). He is board certified in Security Management and serves on the Investigations and Insurance Fraud Councils, as well as the PCI Review Committee for ASIS International as an adjunct faculty member. Jim has served on the Board of Regents for ACFE and is currently an adjunct faculty member there as well.

He served as the Executive Director of the International Association of Arson Investigators in Washington, D.C. and now owns and manages The Whitaker Group, LLC, an investigative services, training, and security consulting firm headquartered in Ohio. Prior to launching his own firm he served as an insurance executive with Westfield Group. He directed the special investigation unit among other home office operational divisions.

Prior to joining Westfield, he retired as a lieutenant from the Forest Park, Ohio Police Department after serving as Commander of the Detective Division. He later served as the Corporate Security Director for L.J. Hooker International, a shopping mall developer headquartered in Sydney, Australia

Mr. Whitaker has trained and has been a featured guest and instructor for many organizations including ACFE, ASIS International, the National Fire Academy for ATF, Federal Law Enforcement Training Center (FLETC), and the Association of Special Investigation Units. He has served as a special news consultant for FOX television regarding fire scene investigations and for ABC News as a financial crimes and insurance fraud consultant.

Workshop #6: Mobile Devices and the Evidence They Hold (Continued from Workshop #1 – Part 2 of 2)

Workshop #7: Organized Check Fraud

Workshop Descriptions: This session will present a case study of a large check-cashing ring, including details of the initial discovery of fraudulent activity, investigation, grand jury direct indictments, roundup of suspects, prosecution of defendants and sentencing. This check fraud activity, which involved three banks and 75 suspects, resulted in a loss of more than \$150,000.

Speaker Bio: Detective Mark S. Peters – Investigator, Criminal Investigation Section / Major Offenders Unit, Cincinnati Police Department

Detective Mark S. Peters - Investigator with the City of Cincinnati Police Department, assigned to Criminal Investigation Section / Major Offenders Unit. Detective Peters has been with the Cincinnati Police Department for seven years, with the last three years in the Major Offenders Unit investigating financial crimes. Detective Peters has a Bachelors of Science degree from Pennsylvania State University in Chemical Engineering. Prior to joining the Police Department, Detective Peters worked in the nuclear field: nuclear materials production, waste management and environmental restoration for the US Department of Energy.

Speaker Bio: Detective Don Konicki – Major Offenders Unit/Financial Crimes Squad, Cincinnati Police Department

Detective Don Konicki has been a member of the Cincinnati Police Department for eleven years. Eight of those years have been as an investigator for the City of Cincinnati in Vice Enforcement and Personal Crimes. Detective Konicki is currently assigned to the Major Offenders Unit/Financial Crimes Squad. The Financial Crimes Squad investigates identity theft, embezzlements, high dollar thefts, and bank robberies. Detective Konicki has taught at the Cincinnati Police Academy in the field of Victim's Rights, and has a Masters of Science from the University of Cincinnati in Criminal Justice. Prior to joining the Cincinnati Police Department, Don was a Sergeant in the United States Army stationed in Germany.

Workshop #8 and Workshop #13: Detecting and Preventing Employee Expense/Purchase Schemes during a Fiscal Crisis (2 Part Session)

Workshop Description: Employee fraud schemes are constantly adapting and changing with the times, and so should your fraud prevention and detection programs. This interactive session will revisit some of the tried and true employee expense schemes, as well as some new approaches, and discuss what companies can do to further strengthen and prevent these schemes from occurring.

Abstract Details: This interactive session will cover various employee expense and purchase schemes that lead to fraud, waste and abuse such as: schemes involving employee expense reports, company credit cards, fake receipts, double submittal for reimbursement, reward programs, lines of credits and online accounts, and several sub-schemes and associated risks. Session attendees will be able to discuss additional alternative schemes as well as provide insight and advice on strengthening the detective and preventive controls for these schemes. Additional detective and preventive control mechanisms will be discussed for each scheme.

Learning Objectives: - Learn some methods and examples of employee expense/purchase fraud, waste and abuse schemes. - Learn tips to detect & prevent these schemes from occurring. - Share knowledge and interact with other attendees.

Target Audience: All Disciplines, All Industries. Any employees engaged in the prevention or detection of employee expense/purchase schemes would benefit from this presentation.

Subjects Selected

Fraud Detection

Fraud Prevention

Embezzlement/Asset Misappropriation

Speaker Bio: Ryan C. Hubbs CFE, CIA, CCSA, PHR, CFS – Senior Staff Internal Auditor/Investigator, Entergy
Ryan Hubbs is a Sr. Staff Internal Auditor and Investigator for Entergy in New Orleans, Louisiana. His responsibilities include conducting internal investigations and investigative audits for Entergy on a myriad of issues including employee expense fraud, contractor fraud, conflicts of interest, ethics violations, and communication systems abuse, to name a few. Mr. Hubbs has researched and worked extensively on the design and implementation of Entergy's Fraud Risk Assessment program. Ryan conducts training for all new supervisors at Entergy on internal controls and fraud.

Mr. Hubbs is also the current chapter President of the New Orleans ACFE Chapter. Post Hurricane Katrina, Mr. Hubbs identified the need for a local ACFE Chapter in the New Orleans area. After working with the National ACFE Headquarters, the New Orleans chapter was able to be re-established in the fall of 2007.

Mr. Hubbs has also experience as an educator and presenter. He has worked as an online faculty member with the University of Phoenix teaching the graduate level course, "Managing the Business Enterprise". He currently supports professional organizations such as the ACFE, the IIA, and local Universities by giving presentations on fraud, waste and abuse. Some of these include: Conducting Facilitated Fraud Risk Assessments, Detecting and Preventing Employee Expense Purchase Schemes, How to Lose Your Job, Understanding and Combating Occupational Fraud and Abuse, Conducting Fraud Investigations, and Ethics. Mr. Hubbs has been selected as a presenter at the ACFE National Fraud Conference for the last two years.

In addition to his career at Entergy, Mr. Hubbs volunteers his time to the St. Tammany Parish Sheriff's Office in the Special Operations Division. He holds the rank of Reserve Corporal and Detective and assists the Property Crimes Division on White Collar Fraud cases. His duties also include search and rescue operations as well as routine water patrols. He also spent considerable time conducting search and rescue operations post Hurricane Katrina.

Mr. Hubbs graduated from Louisiana State University with a Bachelor of Science in Business Administration and a minor in Sociology/Criminology. He later graduated from Louisiana State University with a Masters in Business Administration. Mr. Hubbs' law enforcement training includes graduation from the EBRSO Reserve Training Academy and the Texas Basic Police Officer's Academy. He is a Certified Fraud Examiner (CFE), a Certified Internal Auditor (CIA), Certified in Control Self-Assessment (CCSA), a Certified Human Resources Professional (PHR), and is a Certified Fraud Specialist (CFS).

Workshop #9: How to Prove Intent in a Fraud Case

Workshop Description: This session will offer tips and tactics to help participants successfully prove criminal intent in fraud cases by using effective case development, direct and circumstantial evidence, and effective strategies to rebut common defenses. These techniques will be discussed by examining their use in real cases.

Speaker Bio: James Manken – Assistant Chief Legal Counsel, Ohio Auditor of State's Office

James Manken is currently assigned as legal counsel to the Special Audits Division of the Ohio Auditor of State's Office. He typically offers legal advice and prosecutorial assistance in audits involving the misappropriation or conversion of public monies. James is a former Franklin County Prosecuting Attorney, Asst. Attorney General, and Special Asst. United States Attorney.

Workshop #10: Engagement and Leadership

Workshop Description: The most important numbers to your organization today may be 30%, 50%, and 20%. No, these are not numbers related to revenues, expenditures, or any other financial metric. They are the estimated percentages of people in organizations who are engaged, disengaged, and actively disengaged.

Why are these percentages important? We now know that engagement ties directly to productivity, customer satisfaction, and employee retention and the level of engagement in any organization is closely linked to leadership. Some even say that "90% of the productivity comes from the 20% of the employees who are highly engaged" and "people join an organization, but quit their boss" (while staying to collect a paycheck). In this session you will learn more about what drives these percentages and what you can do, as a leader, to raise the levels of engagement in your organization.

Speaker Bio: Debbie Johnson – Director of Leadership Development, Franklin University

Debbie Johnson is a Leadership Development Consultant with the Franklin University Leadership Center, implementing leadership training programs for corporations, associations, and non-profit organizations throughout central Ohio. As a longtime community volunteer, Debbie has engaged many groups working toward their common goal.

She is a graduate of Otterbein College with a degree in Business Administration. As an example of Leading by Example, Debbie was recently elected to the City Council in Upper Arlington where she lives with her husband and three children.

Workshop #11: The True Cost of Health Care Fraud

Workshop Description: This interactive presentation dissects the true cost of health care fraud, showing how these go deeper than just dollars and cents, but touch on intangibles as well. Key points will be illustrated with modern statistical methods as well as real-life events.

Speaker Bio: Roger Palmer, CFE, CPP, CIFI, AHFI, CFCI – Manager, Corporate Investigations, Blue Cross Blue Shield of Kansas

Roger Palmer has been managing the Anti-Fraud effort at Blue Cross Blue Shield of Kansas since the inception of the unit in May 1984.

Upon attaining his bachelor's degree from Miami University in Oxford, Ohio, Roger joined the management team at Armco Steel Corporation in Middletown, Ohio. He was later promoted and relocated to supervise plant operations in Topeka, Kansas.

Roger Palmer joined Blue Cross Blue Shield of Kansas in 1982 and following a two-year stint in Actuarial Services; he accepted a position to create the Special Investigations Unit. Today, he supervises a staff whose mission is to “resolve allegations of wrongful activity directed at corporate assets”. In addition to the investigative activities, Roger is also responsible for assisting the Corporate Compliance Officer in resolving matters of compliance for the organization.

Roger Palmer has taught many continuing education courses at national and regional conferences. He is a frequent speaker before numerous professional and civic groups regarding various fraud and security-related topics. Roger has authored many articles that have been published in professional journals.

1133 SW Topeka Blvd., Topeka, KS 66629-0001, (785) 291-8768, roger.palmer@bcbsks.com

Workshop #12: Proactive On-line Investigations

Workshop Description: Using examples from a number of successful investigations in New York City, this presentation will show how on-line undercover operations can be an important component in a wide variety of cases. Participants will learn how on-line investigative techniques – too often considered only for child exploitation and traveler cases – can be successfully applied in many other situations.

Speaker Bio: Richard Brittson – Senior Computer Forensic/Investigative Analyst, Identity Theft Unit, New York County District Attorney's Office

Richard Brittson is a Senior Computer Forensic/Investigative Analyst for the New York County District Attorney's Office assigned to the Identity Theft Unit. His duties include providing computer forensic analysis and investigative support for often large scale (national and international) cases. Richard is a retired detective from the NYPD. His assignments there included the Firearms Task Force and Brooklyn North Homicide. Richard's last assignment while at the NYPD was with the Computer Investigation & Technology Unit (now the Computer Crimes Squad). After retiring, Richard was a Senior Forensic Consultant at a leading computer forensic software company.

Richard Brittson is also a trainer and curriculum developer for the Law Enforcement Innovation Center (LEIC) as well as an authorized instructor for some course offerings of the National White Crime Center (NW3C). He is a proud member of the Northeast Chapter of the High Technology Crimes Association (HTCIA) where he was a board member and Chapter President. Richard has received several awards, including the 2004 HTCIA 2004 Case of the Year for "Operation Innocent Eyes". Richard is and will always be a New York Yankee fan.

Workshop #13: Detecting & Preventing of Employee Expense/Purchase Schemes During a Fiscal Crisis (Continued from Workshop #8 – 2 Part Session)

Workshop #14: Legal Issues Update 2010

Workshop Description: This workshop is designed to help fraud examiners and professionals understand and avoid traps in civil and criminal case preparation, management and presentation. Topics include discussion of issues related to expert witnesses, electronic evidence and other hot topics.

Speaker Bio: Doug Squires – Assistant U.S. Attorney, Southern District of Ohio and Adjunct Professor of Law, Capital University School of Law

Doug Squires is a federal prosecutor with the U.S. Department of Justice and has prosecuted numerous significant white-collar cases. In 2009, he received the Department's Distinguished Service award.

Doug is also an Adjunct Professor at Capital University Law School in Columbus, Ohio, where he developed and teaches a class in forensic evidence; an advanced evidence course. The class includes the use of white-collar expert testimony regarding computer forensics, forensic accounting, and questioned document examination among other topics.

Doug has authored several published materials on white-collar crime and fraud, including a chapter entitled "Forensic Accounting" in *Scientific Evidence in Civil and Criminal Cases*, 5th Ed., 2007, Foundation Press, the leading legal text on scientific and technical evidence.

Prior to joining the U.S. Attorney's Office in Columbus, Ohio in 2000, Doug worked for almost seven years as a state prosecutor in California. As a Deputy District Attorney, Mr. Squires prosecuted offenses including murder, sex crimes and major narcotics and served as an interim Supervising District Attorney.

Doug received a B.A. from Miami University, Oxford, Ohio, his law degree from the University of San Francisco School of Law and is licensed to practice law in Ohio and California.

Workshop #15: Beyond the Badge: What Law Enforcement Can Teach Every Profession about Leadership Skills

Workshop Description: Drawing on the experiences and life-lessons of a veteran law-enforcement officer, this presentation is designed to help participants improve their leadership skills – and to see how those skills can help create a successful leader in any profession or setting.

Speaker Bio: Steven Sarver – Chief of Police, Newark Police Department

Steven Sarver has nearly 39 years of experience in law enforcement and has been in a leadership role for the past 20 years. His career has included 29 years of service with the Cincinnati Police Department where he retired as a lieutenant in 2000; 14 months as the chief of police in Amelia, Ohio; over six years as the chief of police in Colerain Township, Ohio; and has been the chief of police in Newark, Ohio since December of 2007.

Chief Sarver has a Bachelor's Degree in Criminal Justice from the University of Cincinnati and a Master's in Public Administration from Northern Kentucky University where he was named the Outstanding Student in the MPA program. He is a graduate of the 95th Administrative Officers Course from the Southern Police Institute and a 2002 graduate of the Certified Law Enforcement Executive program (CLEE). He is a member of the Ohio Association of Chiefs of Police where he serves on the Education and Ethics committees and is a Lead Consultant in Assessment Center testing for all ranks from police sergeant to chief of police. He is a member of the International Association of Chiefs of Police where he served six years on their Professional Standards committee and is the immediate past president for the Licking County Chief's Association.

Chief Sarver has served as a consultant at the Southern Police Institute in Louisville, Kentucky and has previously taught in their Command Officers Development Course and the Management of the Small Law Enforcement Agency course. In addition, he developed and currently teaches their 40-hour Chief Executive Leadership program.

Workshop #16: Investigating and Combating Crime Rings

Workshop Description: This session will discuss Ponzi schemes and other fraud trends, including counterfeit-check organizations and fraudulent credit-card rings. Participants will also learn what forward-looking organizations are doing to combat the increase in fraudulent activity and the impact these crimes have on consumers as well as law enforcement agencies.

Speaker Bio: Detective Ted Smith – Westerville Police Department

Detective Smith is a 22-year veteran of the Westerville Police Department. In 1988, following his graduation from The Ohio State University with a bachelor degree in Criminology, Detective Smith began his career as a patrol officer with the City of Westerville. He served 16 years as a street officer, and then moved his career to the detective bureau where he investigates financial crimes. Detective Smith immediately became the lead investigator in a ponzi scheme investigation that became known as the “David Cahal” case.

Additionally, he works with a multi-jurisdictional counterfeit check task force that operates under the guidance of the Ohio Organized Crime Division within the Attorney General's Office. The task force has recently added large scale fraudulent credit card investigations to their case load.

Workshop #17: Theft, Greed and Denial: A Case Study

Workshop Description: This case study will explore the facts associated with a multi-million dollar financial fraud scheme involving a mid-level banker in Dayton. Focus will be on the fraud, fraud investigation, asset recovery, risk/ controls and civil and criminal litigation as well as the collective partnership of private and public entities.

Speaker Bio: Martin A. Beyer – Partner, Sebal, Shillito + Dyer, A Legal Professional Association

Marty is a lawyer who specializes in dirt, money, and dirty money. That is, he specializes in real estate, banking / contracts, and embezzlement / fraud cases. His embezzlement cases have ranged from \$50,000 to \$5 million. Marty represents individuals, small businesses, and Fortune 500 companies. He can be reached at 937.222.2500 or mbeyer@ssdlaw.com.

Speaker Bio: Cheryl A. Cimperman – Vice President, Fraud Recovery Manager, JPMorgan Chase & Co.

Cheryl began her career with JPMorgan Chase & Co, and its predecessor banks in 1995. She was a litigation paralegal in the Legal Department before moving into the role of Legal Portfolio Manager for the investigations group. Cheryl was promoted to National Fraud Recovery Manager and manages a team of dedicated fraud recovery investigators that serve the retail bank and other lines of business globally. Her team collectively recovers approximately \$15 million each year for the firm.

Speaker Bio: Kelly E. O'Reilly, CFE, CFI, CPP – Vice President/ Regional Investigator, JPMorgan Chase & Co.

Kelly O'Reilly has been involved in the security industry both asset protection and investigations for over twenty-five years. He began his security career in retail loss prevention, healthcare security and then the financial services industry. Kelly has made numerous presentations on the topic of Fraud/ Identity Theft to businesses, civic groups, fraud prevention organizations, and the federal government.

Workshop #18: 7 Steps to Successful eDiscovery Collection

Workshop Description: The purpose of this presentation is to introduce the attendee to the concepts of Electronic Discovery (eDiscovery), focusing on evidence collection, the aspect of eDiscovery that the attendee would most likely be involved. The presentation will include discussion on the methods and suggested techniques that can be used to accomplish the collection of data relating to an eDiscovery effort, considerations for processing and preservation of data, and how this would differ from collection and analysis of data in response to a fraud investigation.

More and more, companies are involved in large scale eDiscovery requests for litigation matters, and frequently call upon a wide range of skilled personnel within the company to comply with the court ordered requests for information stemming from civil litigation. Individuals in the field are experienced in the gathering, protecting, and processing of physical and electronic data for fraud and criminal investigations, and are a valuable resource to their company for eDiscovery requests. However, as opposed to fraud or criminal investigations which usually focus on

one or at most a few individuals who may be committing the fraudulent act, eDiscovery requests for civil litigation often involve gathering data from dozens or hundreds of company employees who may have electronic documents pertaining to the matter stored on their PCs, emails, company servers, or backup media, and are usually not accused of any wrongdoing, just having possibly relevant data in their possession. The same approach to sharply focused fraud investigations is often unworkable, and usually unnecessary, for most civil litigation eDiscovery requests. After attending this presentation, the attendee will be better able to recognize these differences, and tailor an appropriate response to electronic data collection for eDiscovery requests.

Speaker Bio: Karl Flusche, CFE – Manager, Electronic Evidence Collection , Fios, Inc.

Karl Flusche has more than 20 years experience in hi-tech investigative operations and large scale electronic evidence discovery projects. As a Certified Fraud Manager (CFE) and the manager of Fios' Electronic Evidence Collection team, Flusche plans and directs all electronic discovery collection and forensic recovery projects for Fios' clients. As part of this service, Flusche and his team develop detailed collection plans for clients, working to empower them with "legally defensible methods" to collect electronic evidence for major litigation efforts. He also provides extensive training on electronic discovery collection methods, evidence handling and preservation protocols.

Prior to joining Fios in 2005, Flusche led the forensic, investigative, and information security activities for a number of high profile corporations, such as Hotels.com, Paladin Group LLC, Cyrix Corporation and Computer Crime Labs of America. He was also a team member in the forensic practices for PriceWaterhouseCoopers LLP, Ernst & Young LLP. Flusche spent 10 years as a Special Agent with the U.S. Air Force Office of Special Investigations (OSI) as one of the country's earliest computer crime investigators who helped define the field of computer forensics.

Accomplishments

- Trained electronic evidence technician and manager
- Developed laboratory and processing protocols and procedures for processing and storing electronic evidence.
- Built high-performance, productive teams of professionals to resolve and manage complex issues
- Experienced investigator, with global experience in hi-tech investigations. One of earliest computer forensics experts (1984) for first agency with computer crime investigators.
- Delivers professional presentations to lawyers, law enforcement organizations, security groups, and professional associations on hi-tech crimes, investigations, and electronic discovery

Professional Background & Education

- M.A., Business Management, Central Michigan University
- B.S., Applied Mathematics, University of Texas at Dallas

Industry Involvement

- American Society of Industrial Security
- California POST Committee on High-Tech Crime (1996)
- Association of Certified Fraud Examiners
- Association of Former AFOSI Agents

Workshop #19: Legal Issues Businesses Face in Using Social Media

Workshop Description: This workshop will explore the legal issues, risks and opportunities for businesses presented by the use of social media. Topics include intellectual property, privacy and employer/employee issues in the context of social media such as Facebook, LinkedIn, YouTube and Twitter.

Speaker Bio: Brian Hall – Partner, Labor and Employment Department, Porter Wright Morris & Arthur LLP

Brian Hall helps companies effectively manage their full range of workforce issues. He helps employers reduce their potential liability, risk and costs by providing preventative advice and counsel regarding day-to-day workplace issues. In addition, as editor of the firm's employment law blog — Employer Law Report — Mr. Hall is constantly reviewing recent cases, legislation, and other employment law developments and helping our clients understand how these changes may impact their organizations.

When employment-related disputes escalate to litigation, Mr. Hall represents management interests in employment and workers' compensation matters in both union and non-union environments. In fact, he devotes a majority of his practice to defending employers in litigation involving employment discrimination, wrongful discharge, allegations related to workplace injuries and occupational diseases (including employment intentional tort litigation), as well as claims arising under ERISA. Mr. Hall also has significant experience advising employers and litigating the enforcement of rights and obligations under non-compete agreements and laws relating to misappropriation of trade secrets.

As a Certified Specialist in Ohio Workers' Compensation Law by the Ohio State Bar Association, Mr. Hall helps both state-funded and self-insured employers manage their workers' compensation programs and defend against individual claims. Viewing workers' compensation from a general employment law perspective, he helps employers understand how their approach to workers' compensation is impacted by federal laws such as the Americans with Disabilities Act, the Family and Medical Leave Act, and ERISA and state law concepts addressing workers' compensation retaliation and employment intentional tort.

Mr. Hall is also a Certified Information Privacy Professional through the International Association of Privacy Professionals (IAPP), the world's leading association of privacy and security professionals. Mr. Hall regularly advises employers concerning workplace privacy matters, including medical privacy issues raised by the Americans with Disabilities Act, the Family and Medical Leave Act, and the Health Insurance Portability and Accountability Act (HIPAA), maintaining the privacy and security of human resources data, and privacy issues raised by communication, internet, social media, location and other technologies.

Speaker Bio: Robert Morgan– Senior Associate, Intellectual Property and Information Privacy & Data Security practice groups, Porter Wright Morris & Arthur LLP

Robert Morgan's intellectual property practice includes the selection and clearance of trademarks, the prosecution of applications for registration before the United States Patent and Trademark Office, and the representation of clients in inter parties proceedings before the Trademark Trial and Appeal Board. He also assists with brand protection and rebranding efforts as well as the monitoring and enforcement of clients' foreign and domestic intellectual proprietary rights. In addition, he counsels clients on advertising, telemarketing, and email marketing matters, and the administration of contests, promotions, and sweepstakes. A substantial portion of Mr. Morgan's practice is dedicated to the enforcement of clients' intellectual property rights on the Internet, including matters relating to domain name infringement, cybersquatting, negotiated domain name acquisition, and copyright violation.

Mr. Morgan's information privacy and data security and technology practices include counseling clients regarding the collection and retention of personal information as well as related legal risks and data security breach investigation, response, and notification procedures. Mr. Morgan also assists clients with computer law matters including online agreements, transactions, site terms and conditions and privacy policies, and software licensing agreements.

Mr. Morgan is also a Certified Information Privacy Professional through the International Association of Privacy Professionals (IAPP), the world's leading association of privacy and security professionals. Mr. Morgan regularly advises clients concerning Internet-related privacy matters and electronic data security issues.

Workshop #20: Managing Career Transitions

Workshop Description: How much time do you spend focused on your professional development? When you accepted your last promotion, what did you need to learn to do differently?

Each career move requires a new skill set. Yet, most of us continue performing the just as we did prior to the career change. The most highly valued and effective leaders focus on continuous professional development, especially at each major career change. The key to continuous development begins with understanding the required skills and how you measure up against them.

This task is much easier said than done. Nevertheless, effective leaders are self aware, they know their strengths and weaknesses, and they are passionate about development. If you are interested in learning about how to

approach professional development at career transitions, and enhancing your leader effectiveness, then this is the right session for you.

In this highly interactive session you will:

- Learn the skills needed with each career transition
- Complete a self assessment
- Develop self awareness for continuous learning
- Become aware of the development opportunities beyond the classroom
- Build the skills to develop others on your team

Who should attend:

- Team leaders
- New managers who want to enhance their effectiveness
- Learning and Talent Development professionals looking to implement a leadership program that prepares leaders for their career transitions
- Leaders who want to improve their effectiveness

Speaker Bio: Sharon Johnson – Executive Coach, AW Executive Development Group, LLC

Sharon Johnson, principle owner, has 15 plus years of experience in executive coaching, leader development, 360 degree feedback, assessments, designing and delivering training solutions, team building and developing competency models.

She spent 17 years of her career at an insurance company. She held a variety of positions in the Claims Department and Training and Development where she led and managed all aspects of business training for internal and external clients. She led a team of 4 direct reports and 20 indirect reports. Her area of expertise is executive development and coaching. She has designed and facilitated a variety of development programs, senior leadership retreats, a corporate mentoring program, a career planning program build on the foundation of competency models.

Sharon spent four years at a professional services firm where she was an executive coach and coached over 200 Partners, Directors, Senior Managers and Managers.

Sharon has a master's degree in Industrial and Organizational Psychology and an undergraduate degree in Management and Marketing. She has worked closely with executive coaches to enhance her own skills and knowledge in the area of coaching and leader development. She is Myers-Briggs Type Indicator qualified, certified in the Workplace Big Five personality assessment and the Baron EQ-I emotional intelligence assessment. She is certified in the use of the Lominger Career Architect suite of tools.

Workshop #21: A Prescription for Fraud – Outdated Laws Leave the Door Open for Prescription Drug Fraud, Diversion and Abuse

Workshop Description: Learn how to save money, stop crime and save lives. Prescription drug fraud and abuse continues to be an ever increasing problem in the US, and is now the leading cause of accidental death in Ohio and 15 other states. This presentation will highlight how criminals use identity theft, counterfeiting, forgery and other criminal activities to divert prescription drugs for profit and abuse. The workshop will also provide an overview of best practices to fight these criminal activities along with a review of legislative changes that have proven useful in reducing prescription fraud in other states. These same techniques can also be applied to prevent identity and check fraud, where record incidences of criminal activity are being recorded due to today's harsh economic climate.

Speaker Bio: John Harden – Market Development Manager Security Solutions, Standard Register

As certified security expert, and market development manager for security solutions at Dayton, Ohio -based Standard Register, Harden works directly with State and Federal groups to develop strategies and programs to fight fraud with a key focus on fighting drug diversion and abuse. He regularly consults with Law enforcement, Boards of Pharmacy, Health and Human Services, Motor Vehicle Department, Medical and Pharmacy associations on ways to fight the growing prescription drug abuse problem. He has extensive experience resulting from his work

developing security solutions for various Government agencies, Pharmaceutical firms, and other private sector organizations. He has worked extensively with state legislatures and agencies across the United States. He has testified before various committees on the topic of prescription drug diversion and substance abuse, including Public Health & Safety and Fiscal committees.

Workshop #22: Betrayal of a Community's Trust: A Public Corruption Case Study

Workshop Description: Using multiple schemes to divert taxpayer's money for his personal use, the superintendent of a rural Ohio school district misappropriated nearly \$300,000 from the district. This presentation details the investigation and prosecution of this recent crime, showing how cooperation between local, state and federal authorities can bring a public corruption case to a just and judicious conclusion.

Speaker Bio: James Manken – Assistant Chief Legal Counsel, Ohio Auditor of State's Office

James Manken is currently assigned as legal counsel to the Special Audits Division of the Ohio Auditor of State's Office. He typically offers legal advice and prosecutorial assistance in audits involving the misappropriation or conversion of public monies. James is a former Franklin County Prosecuting Attorney, Asst. Attorney General, and Special Asst. United States Attorney.

Speaker Bio: Curt Muehling – Fraud Investigator, Ohio Auditor of State's Office

Curt Muehling, Fraud Investigator for the Ohio State Auditor's office, which requires the investigator to be a sworn police officer. Curt has been employed by the Auditor's office since October of 2005. Curt has an Associate's degree in Police Science from Lorain County Community College, a Bachelor's degree in Psychology from Heidelberg College (Tiffin, Ohio) and a Master's degree in Criminal Justice from Tiffin University.

Curt has over forty years of police experience. Curt was first certified as a police officer in the state of Ohio in May of 1969. Curt was a Sandusky Police officer for twenty eight years with more than half of that time in the detective bureau conducting all types of investigations. Curt was the Commander of a Drug Task Force in Erie County for eight years working with local, state and federal authorities. Curt also a graduate of the National FBI Academy and a Certified Fraud Examiner

Workshop #23: Reducing Fraud Risk by Assessing and Strengthening Internal Controls

Workshop Description: Similar to computer hackers who exploit vulnerabilities in computer systems, fraudsters go to exhaustive lengths to identify and exploit internal control weaknesses to gain access to the assets of their organizations. As the economy continues to struggle fraud becomes more prevalent. To combat the risk of fraud, conducting a fraud risk assessment is a great first step. A fraud assessment identifies the areas and processes in which fraud (all types) could occur and evaluates if the controls in place provide a level of assurance sufficient to reduce the fraud risk to an acceptable level. Organizations must remain committed in their fight against fraud and continuously assess the strength of their internal controls. This course is intended to assist your organization from being victimized by fraud.

Speaker Bio: Marc P. Brdar, CPA, CIA, CFF – Senior Manager – Business Advisory Services, Schneider Downs & Co., Inc.

Marc Brdar, Senior Manager, Business Advisory Services at Schneider Downs provides investigative and forensic accounting, litigation support, business process and control, business planning, and due diligence services to a multitude of clients.

Marc Brdar has led multiple engagements related to forensic accounting and fraud prevention. Some highlights include the following:

- Controller of an company diverted approximately \$500,000 for personal use by perpetrating a scheme involving the use of cashiers' checks.
- Without the knowledge and/or authorization of the owners, the President of a manufacturer "advanced" over \$100,000 to himself for bonuses that he allegedly thought were due to him.
- Investigated and summarized egregious expense account and company credit card theft perpetrated by high ranking members of management for several companies.

- Performed fraud and business risk assessments for several companies, which resulted in the implementation of enhanced controls.

Marc's depth of experience, developed over the course of a 20 year career, enables him to deliver high quality work products to clients. Prior to joining Schneider Downs in 2000, Marc was a controller in industry and also had worked for a national accounting firm.

His work transcends multiple industries including: manufacturing, information technology, contracting, transportation, retail, education, automotive, distribution, and not-for-profit industries. Member—American Institute Certified Public Accountants

Donald R. Owens, CPA, CFF, CIA, CFSA, CBA – Director, Internal Audit and Risk Advisory Services, Schneider Downs & Co., Inc.

Don Owens is the Director of Schneider Downs' Internal Audit and Risk Advisory Services practice for Ohio. During his career, Don has led teams that have investigated large multi-million dollar frauds in financial and other industry sectors which in certain cases resulted in the prosecution and imprisonment of offenders. Don's service deliveries include process and control assessment, organizational re-engineering, and various risk mitigation services (enterprise-wide risk assessment, internal audit support, fraud risk assessment, forensic investigation, etc.) to clients. In his tenure with Schneider Downs, Don has directed three forensic investigations, one of which resulted in the discovery of approximately \$300,000 in fraudulent transactions. He has also led several engagements in which clients' suspected possible fraud within their organizations and, though fraud was not found, he and his team identified poor processes and deficient or non-existent controls requiring remediation to safeguard the clients from potential future theft. Clients served include non-profit, public and private entities.

Don's career spans more than 25 years in the risk management profession. Don is an active member in the following: American and Pennsylvania Institutes of Certified Public Accountants, Ohio Society of Certified Public Accountants, The Institute of Internal Auditors, Risk Management Association, Association of Certified Fraud Examiners, and Bank Administration Institute

Workshop #24: Public Records, Legal Update

Workshop Description: This workshop will review the duties of all public offices regarding public records responses and discuss the common types of public records that are subject to disclosure. Participants will learn about exceptions to disclosure requirements, with a focus on records in a law enforcement office, and be updated on recent Ohio cases and legislation.

Speaker Bio: Robin McGuire Rose – Open Government Unit Director, Legal Division, Ohio Auditor of State's Office

Robin McGuire Rose joined Auditor Taylor's Legal Division as Director of the Open Government Unit in April of 2007. Her focus is serving local officials and the public by providing training and resources to help foster a better understanding of the Ohio Public Records Act, the Open Meetings Act and raising awareness of Ohio's records retention laws. Rose is responsible for administering the Office's public records requests and editing Ohio's Open Government Resource Manual.

Prior to joining the Auditor of State's office, Rose served as Assistant Chief Legal Counsel to the Ohio Department of Development. Among other duties, she counseled several public advisory boards, conducted trainings on records retention policies and managed public records requests for the agency. In 2001, Rose began her state service as an Assistant Attorney General where she represented the State of Ohio in the Court of Claims. Prior to joining the Attorney General's office, she practiced law in the private sector as Corporate Counsel in a real estate title company.

Rose graduated summa cum laude with a Bachelor of Science degree in Finance and Business Management from Franklin University. She received her Juris Doctor from Capital University Law School. She is a member of the Ohio State Bar Association and Columbus Bar Association. Rose is licensed to practice in Ohio, the United States Supreme Court and the United States District Court for the Southern District of Ohio.

Speaker Bio: Kimberly A. Kutschbach – Assistant Chief Legal Counsel, Ohio Auditor of State’s Office
Kimberly Kutschbach has served as an Assistant Chief Legal Counsel for the Auditor of State since January 2007. Kimberly also served as a Legal Intern for the Auditor of State from May 2004 through August 2004. The legal division has a staff of eight attorneys, one legal intern, a paralegal, and an executive assistant who provide legal advice to the Auditor and her staff; review legal documents relating to business of the Office of the Auditor of State; and assist in responding to requests from public officials and citizens for information and records.

Prior to her service in the Auditor of State’s Legal Division, Kimberly served as an Assistant Prosecuting Attorney with the Warren County Prosecutor’s Office. Kimberly received her Juris Doctor with honors from University of Cincinnati College of Law in 2006, and holds a Bachelor of Arts Degree in Political Science from The Ohio State University.

Kimberly is a member of the Ohio State Bar Association, Columbus Bar Association, where she is a member of the Government Agencies Committee.

Workshop #25: Leading in Tough Economic Times

Workshop Description: This presentation focuses on practical and applicable principles for significantly improving your ability to lead tactically and strategically within your organization and in your personal life during these trying economic times. Participants will learn five principles that will help align expectations and work efforts of management as well as employees.

Speaker Bio: Dr. Garry McDaniel – Associate Dean for Professional Training and Development, Franklin University

Dr. Garry McDaniel is Associate Dean for the Center for Professional Training & Development which provides national and international professional certificates, training programs and consultation designed to increase employee productivity and improve organizational productivity. Prior to joining Franklin University Dr. McDaniel was President of a private consulting firm working with organizations to enhance profitability, quality, service and employee morale and retention through organizational, team and interpersonal effectiveness. He also led the Global Leadership & Succession Planning efforts, management training and career center for Advanced Micro Devices. Dr. McDaniel has authored two books, Conflict to Cooperation: A process for mediating group differences, and Managing the business: How successful managers align management systems with business strategy as well as numerous articles. His research interests focus on the relationship between individual and corporate leadership, change management, organization development, organizational behavior and business ethics.

Workshop #26: International Background Investigations

Workshop Description: Workshop participants will learn techniques for conducting a successful background investigation on a Mexican national. Included in the discussion is an overview of the Mexican four-part naming concept and how it ties into the multiple mandatory identification requirements of the Mexican government. Participants will also learn about the types of records obtainable through on-line databases.

Speaker Bio: Frederick Wharton – President and Founder, VeriMX

Mr. Wharton is President and Founder of VeriMX, the USA’s most Extensive Resource for Mexican Database Searches and North American Training Group, an International provider of insurance fraud training and education. Fred develops Compliance and Continuing Education courses on the topics of insurance fraud for all lines of insurance and the investigative industry.

Fred has been involved in the insurance fraud arena for over 19 years, working as a field investigator, SIU Manager, VP of Investigations and training director.

Mr. Wharton has an International Business degree, along with minors in Criminology and Economics. He maintains a professional designation as a Fraud Claims Law Specialist (FCLS).

Fred also spent 12 years with the Fort Lauderdale, Florida Police Department in the street level investigative unit.

Workshop #27: “Operation Haul” – A Multi-Jurisdictional Task Force

Workshop Description: The presentation will highlight “Operation Haul,” a multi-jurisdiction task force. Headed by the Columbus Police Department, in cooperation with a number of insurance companies and law enforcement agencies, this operation successfully recovered more than \$600,000 in stolen property. Also highlighted: several investigations involving large “fencing” operations and a discussion of task force operations, investigative methods and evidence handling, with emphasis on the insurance company’s role.

Speaker Bio: Brian W. Lacy – Detective, Detective Bureau – Burglary Unit, Columbus Division of Police
Detective Brian W. Lacy started his law enforcement career as a Reserve Officer before graduating from the Columbus Police Academy as a Police Officer in June 1987. He has since served in the following areas, Field Training Officer, Auto Theft Unit, Forgery Unit, Homicide Unit, Narcotics Investigative Tactical Unit, Mayor’s Protection, School Resource Officer, and his current position in the Burglary Unit. Detective Lacy has organized and participated in numerous Multi-Jurisdictional Task Forces, involving both Federal and local agencies, targeting organized criminals. Detective Lacy specializes in investigating and preparing cases for prosecution involving large organized criminal enterprises that center around property crime and organized thefts rings.

Workshop #28: The Monster Fraud List: Developing a Comprehensive Library of Fraud Detection Tests

Workshop Description: Learn the top anti-fraud detection tests around asset misappropriation, bribery & corruption and financial misstatement fraud schemes. The presenters have compiled a "monster list" of hundreds of anti-fraud tests and will share some of their most popular and effective tests for you. From duplicative payments, fictitious vendors, ghost employees and conflicts of interest all the way to revenue recognition and kickback schemes, we will demonstrate and provide case studies of effective use of these data analytics techniques in a simple, easy-to-follow format and methodology.

Abstract Details:

According to the ACFE's Report to the Nation, 66% of fraud is detected either by accident or tip vs internal audit, internal controls or external auditors, etc. We can do better! Given the current economic environment, companies now, more than ever, should be integrating data analytics into their investigations or internal audit work plans to identify anomalies, errors, misuse and/or fraud. This course will provide several leading data analysis tests and tools, in a simple easy-to-follow methodology, used to detect many of the most popular schemes we see in today's organizations. These tests include analytics around (1.) asset misappropriation (duplicative payments, fake vendors, ghost employees, travel & expense abuse, etc.), (2.) bribery and corruption (bid rigging, conflicts of interest, contract compliance, kickbacks, payments to outside consultants and government organizations, etc. and (3.) fraudulent misstatements (revenue recognition, channel stuffing, cost recognition, materials management & inventory control, etc.).

Learning Objectives:

Leading methodologies for approaching data analytics -Dozens of the presenter's "most frequently requested" tests taken from our proprietary library of over 200 anti-fraud tests -Case studies on how these tests were able to "find hidden money" and detect fraud -Using the right technology tools for the right job, based on the selected test.

Speaker Bio: Vincent M. Walden, CFE, CPA, CITP – Senior Manager, Fraud Investigation & Dispute Services
Vincent Walden is a Senior Manager specializing in forensic technology and fraud detection analytics. With a focus on text analytics, forensic data mining and electronic discovery services, Vincent has over thirteen years of experience handling the information management and electronic discovery needs for large scale, complex litigations, investigations and proactive anti-fraud programs. Vincent is experienced with providing clients leading anti-fraud based innovation, research and analytics, including, Fraud Triangle analytics, link analysis, text data mining, and cluster analysis that seek to identify or predict fraud risk variables, data anomalies or data inefficiencies that can lead to unnecessary costs or enterprise risks.

Prior to joining Ernst & Young, Mr. Walden spent five years specializing in litigation technology supporting investigative and legal matters at another Big Four firm. Before that, he served as president and CEO of a privately funded Internet company, which was subsequently sold as well as a large accounting firm specializing in economic and dispute advisory services.

Direct: (214) 754-3941 * Fax: (214) 969-8754 * vincent.walden@ey.com
One Victory Park, Suite 2000, 2323 Victory Avenue, Dallas, TX 75219

Workshop #29: FMLA: Practical Considerations

Workshop Description: The general requirements of the Family and Medical Leave Act (FMLA) are well known, but dealing with the intricacies of the law's application can present unique challenges. Participants will learn the "nuts and bolts" of what an employer can and should do in handling ongoing or recurrent requests for FMLA leave and in controlling abuse of benefits allowed under the statute.

Speaker Bio: Mark W. Altier, Esq. – Chief Civil Assistant Prosecuting Attorney, Miami County, Ohio
Mark Altier has spent over thirty-five years in public service at the municipal, county, and state levels of government, including periods as an assistant prosecuting attorney, county commissioner, senior staff counsel for the Ohio Department of Administrative Services, city law director, and village solicitor. He is a graduate of Mount Union College, and he received his Juris Doctor degree from Case Western Reserve University. Currently he is employed as the Chief Civil Assistant Prosecuting Attorney of Miami County, Ohio.

Workshop #30: Navigating Generational Differences

Workshop Description: Veterans, Baby Boomers, Generation X, Generation Y, Millennials? Differences in generation can create gulfs as wide as different languages. This workshop will serve as a guide to help bridge these divides to create an open and productive workplace.

Speaker Bio: Meghan C. Altier – Training Development Administrator, Ohio Auditor of State's Office
Meghan is currently the Auditor of State's Training Development Administrator for the Ohio Auditor of State's Office. Meghan hails from Wooster, OH, and graduated from Wittenberg University with a B.A. in History and a minor in Education. She later earned an M.A. in Special Education from The Ohio State University.